IC Header Overview

Welcome to the Institutional Characteristics Header survey component (IC Header). This survey was introduced to collect data that are key to reporting throughout the IPEDS data collection, and must be completed and locked before any other survey can be started.

The IC Header should be completed based on the current year. Some IC Header questions may require nothing more than a confirmation, if nothing has changed. Please make changes as necessary, and complete items that do need a response (enrollment questions).

Remember, it is the responsibility of the keyholder to provide NCES with accurate data about the institution. Please never hesitate to call the IPEDS Help Desk at 1-877-225-2568 and ask for help to make sure that you are reporting correctly!

Because of the importance of the IC Header data in determining the screens you will receive in other surveys, be sure to report correctly, and to contact the IPEDS Help Desk if you have ANY questions about what you need to report.

The IC Header data affect other survey components in the following ways:

- The Educational Offerings question verifies your institution's inclusion in IPEDS.
- The Control and Levels page is key to all survey components, especially to Finance (F) and Graduation Rates (GR). Additionally, this is important information for students, impacts many federal reports, and is used in placing institutions in appropriate net price groupings.
- Calendar system selection impacts student charges data reported in Institutional Characteristics (IC), Fall Enrollment (EF) data, GR data, and Student Financial Aid (SFA) data related to the net price calculation.
- Enrollment levels impact student charges in IC and enrollment categories in the EF survey component.
- The Open Admission question determines whether the Admissions component will be required in the Winter collection.
- The Academic Libraries expenses question determines whether the Academic Libraries component will be required in the Spring collection. This question is asked of degree-granting institutions only.
- The operations question for new institutions determines reporting of 12-month enrollment (E12).

Data Reporting Reminder:
- Report data to accurately reflect the time period corresponding with the IPEDS survey component, even if such reporting is seemingly inconsistent with prior-year reporting.

Changes to reporting for 2022-23:

The following changes have been made for 2022-23:

- The Mission Statement Question from Institutional Characteristics has been moved to the IC Header. Subsequent questions in Part B have been renumbered.
- Part A Question 1 has been clarified to show it is a screening question for applicability and should only include for-credit activities; added remedial education.
- Added informational question regarding noncredit education in Part C.

Resources:
- To download survey materials package for this component: Survey Materials

If you have questions about completing the survey, please contact the IPEDS Help Desk at 1-877-225-2568.
Part A - Educational Offerings

1. Which of the following types of for-credit instruction/programs are offered by your institution? [Check one or more]

☐ Occupational, may lead to a certificate, degree, or other recognized postsecondary credential
☑ Academic, may lead to a certificate, degree, or diploma
☑ Continuing professional (postbaccalaureate only)
☐ Recreational or avocational (leisure) programs
☐ Adult basic or high school equivalency
☐ Secondary (high school)
☐ Remedial
1. Provide the institution's mission statement or a web address (URL) where the mission statement can be found. Typed statements are limited to 2,000 characters or less. The mission statement will be available to the public on College Navigator.

Mission Statement URL: https://usm.maine.edu/about/mission-statement
Institution: University of Southern Maine (161554)

User ID: P1615541

Part B - Organization - Control and Levels

2. What is your institutional control or affiliation?
   Be sure to select the correct control for your institution. Errors on this question have an impact throughout the IPEDS surveys, in federal reporting, in net price groupings, and on your institutions' appearance to students. If you reported incorrectly in a previous year, please contact the IPEDS Help Desk at 877.225.2568 to correct the error.

   - Public - Select primary and/or secondary controls below
     Primary control
     Select One
     - Private for-profit
     - Private not-for-profit independent (no religious affiliation)
     - Private not-for-profit religious affiliation - Select affiliation below

3. What award levels are offered by your institution? [Check all that apply]
   Award Levels reported should be completed based on the current year. When reporting award levels for subbaccalaureate certificates (levels 1a, 1b, 2, and 4), determine program length by the number of credit or clock hours.
   The “Other” award level should not be used unless your program truly does not fit any of the other award levels. We expect very few institutions to fit the “Other” category.
   Even though Teacher Preparation certificate programs may require a bachelor’s degree for admission, they are considered subbaccalaureate undergraduate programs. Check the applicable award level 1a, 1b, 2, or 4, depending on the length of the Teacher Preparation program.

   - Postsecondary award, certificate, or diploma of
     - less than 300 clock hours, or
     - less than 9 semester or trimester credit hours, or
     - less than 13 quarter credit hours

   - Postsecondary award, certificate, or diploma of
     - 300-899 clock hours, or
     - 9-29 semester or trimester credit hours, or
     - 13-44 quarter credit hours

   - Postsecondary award, certificate, or diploma of
     - at least 900 but less than 1,800 clock hours, or
     - at least 30 but less than 60 semester or trimester credit hours, or
     - at least 45 but less than 90 quarter credit hours

   - Associate's degree

   - Postsecondary award, certificate, or diploma of
     - 1,800 or more clock hours, or
     - 60 or more semester or trimester credit hours, or
     - 90 or more quarter credit hours

   - Bachelor's degree or equivalent

   - Postbaccalaureate certificate

   - Master's degree

   - Post-master's certificate

   - Doctor's degree - research/scholarship

   - Doctor's degree - professional practice

   - Doctor's degree - other

   - Other (please specify in context box below)

☐ Yes, I confirm that I reviewed the award levels offered by my institution above.
You may use the box below to provide additional context for the data you have reported above. Context notes will be posted on the College Navigator website. Therefore, you should write all context notes using proper grammar (e.g., complete sentences with punctuation) and common language that can be easily understood by students and parents (e.g., spell out acronyms).
Institution: University of Southern Maine (161554)

Part B - Organization - Calendar System

Your response to the next question determines how your institution reports Institutional Characteristics student charges data in the fall, Student Financial Aid data in the winter, and Graduation Rates data in the winter. It also impacts the net price calculation in the Student Financial Aid survey.

If the calendar system differs from prior year or requires a change, please contact the IPEDS Help Desk at 877.225.2568.

4. What is the predominant calendar system at the institution? [Choose one]

   Academic Year Reporting Method (Standard academic terms)
   Selecting one of the following calendar types determines that your institution will provide Student Financial Aid and Graduation Rates data based on a FALL COHORT, and student charges data for a full ACADEMIC YEAR:
   - Semester
   - Quarter
   - Trimester
   - 4-1-4 or similar plan

   Program Reporting Method (Other calendar system)
   Selecting one of the following calendar types determines that your institution will provide Student Financial Aid and Graduation Rates data based on a FULL-YEAR COHORT, and student charges data by PROGRAM:
   - Differs by program
   - Continuous basis (every 2 weeks, monthly, or other period)

   Hybrid/Mixed Reporting Method (Standard academic terms, other academic calendar)
   Selecting the hybrid calendar type determines that your institution will provide Graduation Rates data based on a FULL-YEAR COHORT, student charges data for a full ACADEMIC YEAR, and Student Financial Aid data for students enrolling between August 1 and October 31.
   - Hybrid (Other academic calendar)
Part B - Organization - Student Enrollment

5. Does your institution enroll any of the following types of students?

Include all levels offered by your institution, even if there are no students currently enrolled at that level.

Responses to these questions determine which screens will be generated for reporting academic year tuition charges, and for reporting Fall Enrollment during the Spring collection. Additionally, checking Yes for full-time, first-time, degree/certificate-seeking undergraduate students determines that your institution must report cost of attendance data (on the IC component) and Student Financial Aid data for these students.

<table>
<thead>
<tr>
<th></th>
<th>Full-time</th>
<th>Part-time</th>
</tr>
</thead>
<tbody>
<tr>
<td>Undergraduate (academic or occupational programs)</td>
<td>○ No</td>
<td>○ Yes</td>
</tr>
<tr>
<td>First-time, degree/certificate-seeking undergraduate</td>
<td>○ No</td>
<td>○ Yes</td>
</tr>
<tr>
<td>Graduate (not including doctor's-professional practice)</td>
<td>○ No</td>
<td>○ Yes</td>
</tr>
</tbody>
</table>

6. Does your institution enroll students in doctor's degree - professional practice programs?

Checking Yes for this question will allow your institution to report graduate level students in Fall Enrollment. If you select Yes to enrolling students in one of the listed programs, you will also report tuition for the appropriate program(s).

☐ No
✓ Yes

Do you enroll students in one of the following?

- Chiropractic (D.C. or D.C.M.), Dentistry (D.D.S. or D.M.D.), Medicine (M.D.), Optometry (O.D.), Osteopathic Medicine (D.O.), Pharmacy (Pharm.D.), Podiatry (Pod.D., D.P., or D.P.M.), Veterinary Medicine (D.V.M.), Law (J.D.)

☐ No
✓ Yes

7. For Fall 2016, did your institution have any full-time, first-time degree/certificate-seeking students enrolled in programs at the baccalaureate level or below?

If you answer Yes to this question, you will be required to provide Graduation Rates data for the 2016-17 cohort in the winter collection. If you answer No to this question, indicate the reason you are not required to report Graduation Rates for the cohort year requested.

If you reported any full-time, first-time degree/certificate-seeking undergraduates on the 2016-17 Enrollment survey, the data will be preloaded below.

☐ No
☐ Yes

☐ This institution did not enroll full-time, first-time (undergraduate) students.
☐ This institution did not offer programs at or below the baccalaureate level.
☐ This institution was not in operation in 2016-17.

Full-time, first-time degree/certificate-seeking students from the 2016-17 Enrollment survey (GR Cohort): 767
Part B - Multi-institution or Multi-campus Organization

8. Multi-institution or multi-campus organization
Is the institution part of a multi-institution or multi-campus organization that owns, governs, or controls the institution? Do NOT indicate a religious affiliation here; that information is collected separately.

If you need assistance or need to make changes, contact the IPEDS Help Desk at 1-877-225-2568. You will not be able to lock your submission if this question is blank.

☐ No, this institution IS NOT a part of a multi-institution or multi-campus organization that owns, governs, or controls the institution.
☒ Yes, this institution IS a part of a multi-institution or multi-campus organization that owns, governs, or controls the institution.

123020  University of Maine System
Part C - Other Survey Screening Questions - Open Admission

1. Does your institution have an open admission policy for all or most entering first-time degree/certificate-seeking undergraduate-level students?

If the only requirement for admission is a high school diploma or GED/other equivalent, your institution is still considered open admission. Institutions that require only an Ability to Benefit or similar test beyond the diploma/equivalent, and only reject a very small number of students based on the test, are also considered open admission.

If your institution does not have an open admission policy, you will be required to report Admissions component on your admissions procedures and admissions yield.

- No
- Yes

You may use the box below to provide additional context for the data you have reported above. Context notes will be posted on the College Navigator website.

Therefore, you should write all context notes using proper grammar (e.g., complete sentences with punctuation) and common language that can be easily understood by students and parents (e.g., spell out acronyms).
Part C - Other Survey Screening Questions - Library Access and Expenses

2. Does your institution have access to a library collection?
   - No
   - Yes (receives Academic Libraries component)

Were your annual total library expenses for Fiscal Year 2022 greater than zero?
   - No
   - Yes (receives Academic Libraries component)
Institution: University of Southern Maine (161554)

Part C - Other Survey Screening Questions - Noncredit education

4. Which of the following categories of **noncredit education** are offered by your institution? [Check all that apply]

   Note: Categories below may not be mutually exclusive.

   - [ ] Workforce Education
   - [ ] Contract Training/Customized Training
   - [ ] Remedial Education
   - [x] Recreational/Avocational/Leisure/Personal Enrichment
   - [x] Adult Basic Education
   - [ ] Adult High School Diploma or Equivalent
   - [ ] English as a Second Language
   - [x] Continuing Professional Education
   - [ ] None of the above
Institutional Characteristics Header Component Summary
IPEDS collects important information regarding your institution. All data reported in IPEDS survey components become available in the IPEDS Data Center and appear as aggregated data in various Department of Education reports. Additionally, some of the reported data appears specifically for your institution through the College Navigator website and is included in your institution's Data Feedback Report (DFR). The purpose of this summary is to provide you an opportunity to view some of the data that, when accepted through the IPEDS quality control process, will appear on the College Navigator website and/or your DFR. College Navigator is updated approximately three months after the data collection period closes and Data Feedback Reports will be available through the Data Center and sent to your institution's CEO in November 2022.

Please review your data for accuracy. If you have questions about the data displayed below after reviewing the data reported on the survey screens, please contact the IPEDS Help Desk at: 1-877-225-2568 or ipedshelp@rti.org.

<table>
<thead>
<tr>
<th>GENERAL INFORMATION</th>
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<tbody>
<tr>
<td>Educational Offerings</td>
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<tr>
<td>Academic</td>
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<td>Continuing Professional</td>
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<td>Levels of Enrollment Offered</td>
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<tr>
<td>Full-time Graduate (not including doctor's professional)</td>
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https://surveys.nces.ed.gov/ipeds/survey/print
There are no errors for the selected survey and institution.