

**2013 Annual Report
University of Southern Maine**

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This report has been submitted.

Section 1: General Information and Institutional Catalog	
Institution Name:	University of Southern Maine
Street Address 1:	P.O. Box 9300, 96 Falmouth Street
Street Address 2:	
Street Address 3:	
City:	Portland
State:	ME
Zip + 4:	04104-9300
Main Phone Number:	[1] 207-780-4141
Main Fax Number:	[1] 207-780-4933
Country:	
Website Address:	www.usm.maine.edu
Control:	Public
Profit Status:	Not-for-profit
Catalog(s):	Catalog(s) available online at URL(s): - http://www.usm.maine.edu/sites/default/files/catalogs/2012-2013_Catalog_Undergraduate.pdf and http://www.usm.maine.edu/sites/default/files/catalogs/2012-2013_Catalog_Graduate.pdf
Notes:	

Section 2: Key Contact Information	
Chief Executive Officer	
Name:	Dr. Theodora J. Kalikow
Title:	President
Street Address 1:	P.O. Box 9300, 96 Falmouth Street
Street Address 2:	
Street Address 3:	
City:	Portland
State:	ME
Zip + 4:	04104-9300
Country:	
E-Mail:	kalikow@usm.maine.edu
Phone Number:	[1] 207-780-4480
Extension:	

Fax Number:	[1] 207-780-4561
Assistant Name:	Moira Wolohan
Assistant Phone Number:	[1] 207-780-4480
Assistant E-Mail:	mwolohan@usm.main.edu
<p>If there will be a change in your institution's Chief Executive Officer and the incoming CEO's contact information is available, please provide it below. If this information is not available at this time, please provide it when it is.</p>	
New CEO Name:	
Title:	
Email:	
Effective Date of Appointment:	
Chief Academic Officer	
Name:	Dr. Michael Stevenson
Title:	Provost
Street Address 1:	P.O. Box 9300, 96 Falmouth Street
Street Address 2:	
Street Address 3:	
City:	Portland
State:	ME
Zip + 4:	04104-9300
Country:	
E-Mail:	mstevenson@usm.maine.edu
Phone Number:	[1] 207-780-4485
Extension:	
Fax Number:	
<p>If there will be a change in your institution's Chief Academic Officer (CAO) and the incoming CAO's contact information is available, please provide it below. If this information is not available at this time, please provide it when it is.</p>	
New CAO Name:	
Title:	
Email:	
Effective Date of Appointment:	
Accreditation Liaison Officer	
Name:	Dr. Cristi L. Carson
Title:	Director of Institutional Research and Assessment
Street Address 1:	P.O. Box 9300, 96 Falmouth Street
Street Address 2:	

Street Address 3:	
City:	Portland
State:	ME
Zip + 4:	04104-9300
Country:	
E-Mail:	ccarson@usm.maine.edu
Phone Number:	[1] 207-780-4104
Extension:	
Fax Number:	[1] 207-780-4561
<p>If there will be a change in your institution's Accreditation Liaison Officer (ALO) and the incoming ALO's contact information is available, please provide it below. If this information is not available at this time, please provide it when it is.</p>	
New ALO Name:	Sally Meredith
Title:	Chief of Staff to the Provost
Email:	smeredith@usm.maine.edu
Effective Date of Appointment:	4/10/2013
Notes:	The letter requesting the change for the liaison was sent to the NEASC office on April 10, 2013.

Section 3: Enrollment				
Section A: Fall Enrollment				
	Full-Time Headcount	Part-Time Headcount	Total Headcount	FTE*
Undergraduate:				
Title IV Certificate:	0	0	0	0
Associate's:	0	0	0	0
Bachelor's:	4513	1969	6482	5082.66
Non-Degree Students:	38	887	925	277.66
Graduate:				
Master's:	544	684	1228	1040.66
Ph.D:	6	16	22	13.61
Other Doctorate:	9	27	36	22.27
Non-Degree Students:	3	302	305	116.22
TOTAL	5113	3885	8998	6553.08
Formula for FTE:	Undergraduate: 15 credits Graduate: 9 credits			
Institution's definition of a full-time load for undergraduate and graduate students:				
Undergraduate:	15 credits			

Graduate:	9 credits
Section B: 12-month Instructional Activity	
Undergraduates:	5415
Graduates:	1227
TOTAL:	6642
Notes:	

Section 4: Major Institutional Changes

Section 5: Contractual Relationships

Screening Question 1: In 2012-2013, did your institution offer academic programs or courses for which your institution granted (or will grant) credit, but which are operated through a contractual relationship by which instruction is provided by an *agency or organization that is not regionally accredited*?

No

Screening Question 2: Does your institution anticipate offering any such programs or courses in the future?

No

List of contractual programs or courses:

Notes:

Section 6: Off-Campus Locations and Programs

Location of main campus:
 Portland/Gorham/Lewiston-Auburn

Screening Question 1: In 2012-2013, did your institution offer instruction at a branch campus or additional instructional location (that is, at a location other than the one listed at the top of this page) where either at least 50% of a degree or certificate could be completed, **or** where a degree could be entirely completed?

Yes

Screening Question 2: In 2013-2014, does your institution intend to offer instruction at a branch campus or additional instructional location where at least 50% of a degree or certificate could be completed, **or** where a degree could be entirely completed?

Yes

List of off-campus sites and programs:
(sites listed alphabetically by state, city; programs ordered alphabetically by program name)

Site: **Portsmouth Naval Shipyard**
N/A, Portsmouth, NH USA
 Type: **Instructional Location**
 Status: **Current** Date Opened: **09/01/2006**
 Total Annual Enrollment: **207**

Programs	Enrollment
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Program: Industrial Technology Degree Level: B *	Fall: 0 Annual: 0
Total enrollment for this site	Fall: 169 Annual: 0
*An asterisk after a degree level indicates a "degree completion" program.	
Notes:	

Section 7: Distance Education Programs

Screening Question 1: During 2012-2013, did your institution offer either of the following:

- a. degree or certificate programs in which 50% or more of the credit towards the degree/certificate can be earned through technologically mediated instruction to students at a distance; or,
- b. degree completion programs in which a degree can be completed on-line?

Yes

Screening Question 2: Does your institution have any plans to offer any such programs in the future?

Yes

Summary of your institution's future plans for distance education programs:

We will continue the programs that are already available.

List of distance education programs:

Program Name: **Social Work**
Degree Level: **B**
Enrollment - Fall: **160** Annual: **190**

Program Name: **Counseling, with concentration in rehab counseling**
Degree Level: **M ***
Enrollment - Fall: **15** Annual: **16**

Program Name: **Communication**
Degree Level: **B**
Enrollment - Fall: **197** Annual: **232**

Program Name: **Certificate of Graduate Study in Nursing Education**
Degree Level: **ND**
Enrollment - Fall: **2** Annual: **3**

Program Name: **Certificate in Creative Leadership & Global Studies**
Degree Level: **ND**
Enrollment - Fall: **0** Annual: **0**

Program Name: **Adult and Higher Education**
Degree Level: **M ***
Enrollment - Fall: **47** Annual: **59**

Program Name: **Media Studies**
Degree Level: **O**
Enrollment - Fall: **147** Annual: **162**

Program Name: **Leadership Studies**
Degree Level: **M**
Enrollment - Fall: **25** Annual: **36**

Program Name: **Leadership and Organizational Studies**
Degree Level: **B**
Enrollment - Fall: **102** Annual: **135**

Program Name: ETEP Unified K-8 General and Special Education concentration Degree Level: M * Enrollment - Fall: 40 Annual: 43
Program Name: RN to BS Nursing Degree Level: B * Enrollment - Fall: 42 Annual: 50
Total Distance Education Enrollment - Fall: 777 Annual: 926
*An asterisk after a degree level indicates a "degree completion" program.
Notes:

Section 8: Correspondence Education Programs
Screening Question 1: During 2012-2013, did your institution offer programs which meet the criteria below established by the Higher Education Opportunity Act of 2008? <ul style="list-style-type: none"> a. Education provided through one or more courses by an institution under which the institution provides instructional materials, by mail or electronic transmission, including examinations on the materials, to students who are separated from the instructor. b. Interaction between the instructor and the student is limited, is not regular and substantive, and is primarily initiated by the student. c. Correspondence courses are typically self-paced. d. Correspondence education is not distance education.
No
Screening Question 2: Does your institution have any plans to offer any such programs in the future? No
List of correspondence education programs:
Total Correspondence Education Enrollment - Fall: 0 Annual: 0
*An asterisk after a degree level indicates a "degree completion" program.
Notes:

Section 9: Financial & Audit Information
I) Total Expenses: \$ 181740000
II) Did your institution receive an unqualified opinion from your auditor with regard to the most recent audited financial statement?
Yes
Notes:

Section 10: Title IV Eligibility and Cohort Default Rates
Screening Question: Does your institution participate in Title IV student financial assistance programs?
Yes

1) Does your institution use its accreditation (or candidacy status) with the Commission on Institutions of Higher Education (NEASC) as the basis for its eligibility to participate in the Title IV student financial assistance programs?

Yes

If "No," upon whose accreditation is your institution's eligibility based?

2) Does your institution offer any instructional programs which use direct assessment, in lieu of credit hours or clock hours, as a way to measure student learning? [[What do you mean by "direct assessment?"](#)]

No

If "Yes," please list them in the box below.

3a) Your institution's official two-year cohort default rate for the most recent four years:

FY2008 2-Year Cohort Default Rate: (released in 2010)	4.0%	Number of borrowers this rate is based on:	2030
FY2009 2-Year Cohort Default Rate: (released in 2011)	6.7%	Number of borrowers this rate is based on:	2056
FY2010 2-Year Cohort Default Rate: (released in 2012)	6.6%	Number of borrowers this rate is based on:	2130
FY2011 2-Year Cohort Default Rate: (draft rate released in 2013)	5.1 %	Number of borrowers this rate is based on:	0

3b) Your institution's official three-year cohort default rate for the most recent two years:

FY2009 3-Year Cohort Default Rate: (released in 2012)	9.1%	Number of borrowers this rate is based on:	2051
FY2010 3-Year Cohort Default Rate: (draft rate released in 2013)	9.4%	Number of borrowers this rate is based on:	0

Notes:

Section 11: Adverse Actions

Within the past year, has any adverse action been taken against your institution or one of its programs by any other institutional or program accrediting agency recognized by the U.S. Department of Education?

Adverse actions include:

1. denial of candidacy, pre-accreditation, or accreditation;
2. termination of candidacy, pre-accreditation, or accreditation;
3. probation or other similar action indicating problems that, if not addressed within a specified period not to exceed two years, will lead to termination of accreditation or candidacy; and,
4. show-cause for termination or probation (or similar action).

No

If "Yes," please list them below and provide an explanation.

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