

**2016 Annual Report
University of Southern Maine**

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This report has been submitted.

Section 1: General Information

Institution Name: University of Southern Maine
Street Address 1: P.O. Box 9300, 96 Falmouth Street
Street Address 2:
Street Address 3:
City: Portland
State: ME
Zip + 4: 04104-9300
Main Phone Number: [1] 207-780-4141
Main Fax Number: [1] 207-780-4933
Country:
Website Address: www.usm.maine.edu
Control: Public
Profit Status: Not-for-profit

Information for dues invoice.

Dues invoices from CIHE of NEASC are sent to your institution's Chief Executive Officer. If you want to have the invoice sent to someone other than the CEO, please provide that information below.

Invoice Name:
Invoice Title:
Invoice Street Address 1:
Invoice Street Address 2:
Invoice City:
Invoice State:
Invoice Zip:
Invoice Country:

Section 2: Key Contact Information

Chief Executive Officer

Name: Dr. Glenn A. Cummings
Title: President
Street Address 1: P.O. Box 9300, 96 Falmouth Street
Street Address 2:
Street Address 3:
City: Portland
State: ME
Zip + 4: 04104-9300
Country:
E-Mail: usm.president@maine.edu
Phone Number: [1] 207-780-4480
Extension:
Fax Number:
Assistant Name: Barbara Brewer

Assistant Phone Number: [1] 207-780-4480

Assistant E-Mail: usm.president@maine.edu

If there will be a change in your institution's Chief Executive Officer and the incoming CEO's contact information is available, please provide it below. If this information is not available at this time, please provide it when it is.

New CEO Name:

Title:

Email:

Effective Date of
Appointment:

Chief Academic Officer

Name: Dr. Jeannine Uzzi

Title: Provost

Street Address 1: P.O. Box 9300, 96 Falmouth Street

Street Address 2:

Street Address 3:

City: Portland

State: ME

Zip + 4: 04104-9300

Country:

E-Mail: jeannine.uzzi@maine.edu

Phone Number: [1] 207-780-4485

Extension:

Fax Number:

If there will be a change in your institution's Chief Academic Officer (CAO) and the incoming CAO's contact information is available, please provide it below. If this information is not available at this time, please provide it when it is.

New CAO Name:

Title:

Email:

Effective Date of
Appointment:

Accreditation Liaison Officer

Name: Ms. Sally Meredith

Title: Chief of Staff to the Provost

Street Address 1: 93 Falmouth Street

Street Address 2: 714 Law Building

Street Address 3:

City: Portland

State: ME

Zip + 4: 04104-9300

Country:

E-Mail: sally.meredith@maine.edu

Phone Number: [1] 207-228-8231

Extension:

Fax Number:

If there will be a change in your institution's Accreditation Liaison Officer (ALO) and the incoming ALO's contact information is available, please provide it below. If this information is not available at this time, please provide it when it is.

New ALO Name:

Title:

Email:

Effective Date of Appointment:

Notes:

Section 3: Enrollment

Section A: Fall Enrollment

	Full-Time Headcount	Part-Time Headcount	Total Headcount	FTE*
Undergraduate:				
Title IV Certificate:	0	0	0	0
Associate's:	0	0	0	0
Bachelor's:	3705	1654	5359	4169.37
Non-Degree Students:	25	742	767	234.13
Graduate:				
Master's:	470	678	1148	956.44
Ph.D:	6	14	20	13.94
Other Doctorate:	231	18	249	233.2
Non-Degree Students:	6	190	196	73.54
TOTAL	4443	3296	7739	5680.62

Formula for FTE: Undergraduate: 15 credits
Graduate: 9 credits

Institution's definition of a full-time load for undergraduate and graduate students:

Undergraduate: 15 credits
Graduate: 9 credits

Section B: 12-month Instructional Activity

Undergraduates: 4988
Graduates: 1660
TOTAL: 6648

Notes:

Section 4: Major Institutional Changes

Section 5: Contractual Arrangements

Please answer the following **two** Screening Questions to determine which part(s) of this Section (if any) are relevant for your institution.

Screening Question 1: Does your institution offer academic programs or courses for which your institution granted (or will grant) credit, but which are operated through a contractual relationship by which instruction is provided by an *agency or organization that is not regionally accredited?**

No

Screening Question 2: Does your institution anticipate offering any such programs or courses in the future?

No

List of contractual programs or courses:

Notes:

Section 6: Campus Locations

Location of main campus:

P.O. Box 9300, 96 Falmouth Street, Portland, ME

Main Campus Fall 2016 Enrollment: 7739
(Headcount)

Main Campus Annual Enrollment: 10070
(What do we mean by "annual enrollment?")

II: Other Principal Campus:

Screening Question I: Does your institution have or does it plan to establish other principal campuses as defined below:

A location away from the **Main Campus** that houses a portion or portions of the institution's academic program (e.g., the medical school), or a permanent location offering 100% of the degree requirements of one or more of the academic programs offered on the Main Campus, or which otherwise meets the definition of branch campus.

Yes

III. Branch Campuses and Instructional Locations

Screening Question II: Does your institution have or does it plan to establish a location other than the main campus as defined below:

Branch Campus: A location of an institution that is geographically apart and independent of the **Main Campus** and which meets all of the following criteria: a) offers 50% or more of an academic program leading to a degree, certification, or other recognized credential, or at which a degree may be completed; b) is permanent in nature; c) has its own faculty and administrative or supervisory organization; and d) has its own budgetary and hiring authority.

Instructional Location: Any instructional site geographically apart from the **Main Campus** where 50% or more of a degree or credit-bearing certificate can be completed, but which otherwise does not meet the definition of Branch Campus. Not included in this definition are courses that are short-term, non-credit, community service, or non-traditional credit-bearing courses that are offered on an occasional basis in response to constituency needs or interests.

Yes

List of off-campus locations and programs:

(sites listed alphabetically by state, city; programs ordered alphabetically by program name)

Site: **University of Southern Maine - Gorham Campus**
Gorham Campus, 37 College Avenue, Gorham, ME 04038
 Type: **Other Principal Campus**
 Status: **Current** Date Opened: **09/01/1875**
 Total Annual Enrollment: **10070**
 Fall Enrollment: **7739**

Site: **University of Southern Maine - Lewiston Auburn Campus**
Lewiston Auburn Campus, 51 Westminster Street, Lewiston, ME 04240
 Type: **Other Principal Campus**
 Status: **Current** Date Opened: **09/01/1988**
 Total Annual Enrollment: **10070**
 Fall Enrollment: **7739**

Site: **Portsmouth Naval Shipyard**
Portsmouth Naval Shipyard, Kittery, NH USA
 Type: **Instructional Location**
 Status: **Current** Date Opened: **09/01/2006**
 Total Annual Enrollment: **136**
 Fall Enrollment: **120**

Programs

Program: **Industrial Technology**
 Degree Level: **B ***

Enrollment

Fall: **120** Annual: **136**

Total program enrollment for this site Fall: **120** Annual: **136**

*An asterisk after a degree level indicates a "degree completion" program.

Notes:

Section 7: Distance Education Programs

Please answer the following **two** Screening Questions to determine which part(s) of this Section (if any) are relevant for your institution.

Screening Question I: Does your institution offer either of the following:

- a. degree or credit-bearing certificate programs in which 50% or more of the credit towards the degree/certificate could be earned through technologically mediated instruction to students at a distance; or,
- b. degree completion programs in which a degree could be completed on-line?

Yes

Screening Question 2: Does your institution have any plans to offer any such program(s) in 2017-2018?

Please enter a response for this question

List of distance education programs:

Program Name: **Applied Technical Leadership**

Degree Level: **B**

Enrollment - Fall: **18** Annual: **22**

Program Name: **Business Administration General Management Generalist Track**

Degree Level: **B**

Enrollment - Fall: **202** Annual: **242**

Program Name: **Certificate in Creative Leadership & Global Studies**

Degree Level: **ND**

Enrollment - Fall: **2** Annual: **2**

Program Name: **Certificate in Gerontology**

Degree Level: **ND**

Enrollment - Fall: **9** Annual: **10**

Program Name: **Certificate in Leadership Studies**

Degree Level: **ND**

Enrollment - Fall: **1** Annual: **2**

Program Name: **Certificate of Graduate Studies in Creative Leadership/Global Strategy**

Degree Level: **ND**

Enrollment - Fall: **4** Annual: **5**

Program Name: **Leadership and Organizational Studies, Integrative Prof Studies Track**

Degree Level: **B**

Enrollment - Fall: **23** Annual: **31**

Program Name: **Adult and Higher Education**

Degree Level: **M ***

Enrollment - Fall: **44** Annual: **53**

Program Name: **Leadership and Organizational Studies**

Degree Level: **B**

Enrollment - Fall: **66** Annual: **91**

Program Name: **Leadership Studies**

Degree Level: **M**

Enrollment - Fall: **40** Annual: **53**

Program Name: **Communication**

Degree Level: **B**

Enrollment - Fall: **148** Annual: **184**

Program Name: **ETEP Unified K-8 General and Special Education concentration**

Degree Level: **M ***

Enrollment - Fall: **102** Annual: **126**

Program Name: **Liberal Studies, Humanities Track**

Degree Level: **B**

Enrollment - Fall: **54** Annual: **65**

Program Name: **Certificate of Graduate Study in Leadership Studies**

Degree Level: **ND**

Enrollment - Fall: **2** Annual: **3**

Program Name: **Social and Behavioral Sciences**

Degree Level: **B**

Enrollment - Fall: **134** Annual: **178**

Program Name: **Certificate of Graduate Studies in English as a Second Language**

Degree Level: **ND**

Enrollment - Fall: **8** Annual: **11**

Total Distance Education Enrollment - Fall: 857 Annual: 1078

*An asterisk after a degree level indicates a "degree completion" program.

Notes:

Section 8: Correspondence Education Programs

Screening Question 1: Does your institution offer programs which meet the criteria below established by the Higher Education Opportunity Act of 2008?

- a. Education provided through one or more courses by an institution under which the institution provides instructional materials, by mail or electronic transmission, including examinations on the materials, to students who are separated from the instructor.
- b. Interaction between the instructor and the student is limited, is not regular and substantive, and is primarily initiated by the student.
- c. Correspondence courses are typically self-paced.
- d. Correspondence education is not distance education.

No

Screening Question 2: Does your institution have any plans to offer any such programs in the future?

No

List of correspondence education programs:

Total Correspondence Education Enrollment - Fall: Annual:

*An asterisk after a degree level indicates a "degree completion" program.

Notes:

Section 9: Financial & Audit Information

I) Please enter your institution's Total Expenses for FY2016.

Note:

- a. For institutions using GASB Reporting Standards, enter the amount from IPEDS (Finance), Part C (Expenses and Other Deductions), Line 19 (Total Expenses and Deductions).
- b. For institutions using FASB Reporting Standards, enter the amount from IPEDS (Finance), Part B (Summary of Changes in Net Assets), Line 02 (Total Expenses).
- c. For institutions that do not complete IPEDs, include both operating and non operating expenses (i.e., Total Expenses).

I) Total Expenses: \$ 166824000

II) Did your institution receive an **unqualified** opinion from your auditor with regard to the most recent audited financial statement?

Yes

Notes:

Section 10: Title IV-Related Matters: Direct Assessment and Cohort Default Rates

Screening Question: Does your institution participate in Title IV student financial assistance programs?

Examples of programs included here are subsidized and unsubsidized Stafford Loans; subsidized and unsubsidized Federal Direct Stafford/Ford loans; and Pell Grants.

Yes

1) Does your institution use its accreditation (or candidacy status) with the Commission on Institutions of Higher Education of NEASC as the basis for its eligibility to participate in the Title IV student financial assistance programs?

The accrediting agency upon which your eligibility is based is listed in Question 15 of your most recent "Application for Approval to Participate in Federal Student Financial Aid Programs."

Yes

If "No," upon whose accreditation is your institution's eligibility based?

2) Does your institution offer any instructional programs via direct assessment, that is programs for which the requirements for graduation are based on the mastery of competencies rather than the accrual of credit hours? [[What do you mean by "direct assessment?"](#)]

No

If "Yes," please list them in the box below.

3) Please provide your institution's official **three-year** cohort default rate and the total number of borrowers in repayment upon which the rate is based for the three most recent years. [[Where do I find these numbers?](#)]

An institution's cohort default rate is calculated annually by the U.S. Department of Education. Draft rates are provided to the institution by the Department in February; official rates are released in late summer.

FY2011 3-Year Cohort Default Rate: 6.8%	Number of borrowers	2276
(released in 2014)	this rate is based on:	
FY2012 3-Year Cohort Default Rate: 6%	Number of borrowers	2396
(released in 2015)	this rate is based on:	
FY2013 3-Year Cohort Default Rate: 5.9%	Number of borrowers	2568
(draft rate released in 2016)	this rate is based on:	

Notes:

Section 11: Student Achievement and Success

A:

For recognition purposes and consistent with the Commission's Standard on Public Disclosure (10.10), the Commission is required by the U.S. Department of Education and the Council for Higher Education Accreditation (CHEA) to require institutions to provide information to the public, including information on student achievement and success, as determined by the institution.

Below, please indicate the URLs of the principal website(s) where the institution provides the public with information on student achievement and success.

URL Link 1: <https://usm.maine.edu/oir>

URL Link 2: <https://usm.maine.edu/assessment/assessment-reports>

URL Link 3:

Notes:

Please limit your response to no more than 1,000 characters (about 14 full lines)

B: Outcomes Measures Survey

In the tables below, please enter the data your institution submitted this Spring on the IPEDS Outcomes Measures Survey. As you will see, certain cells will automatically calculate based on the data you enter.

B1: Award Status at Six Years

	2007 cohort	Revised 2007 cohort (through August 31, 2013)	Exclusions to 2007 cohort (through August 31, 2013)	Adjusted 2007 cohort	Awarded by your institution (through August 31, 2013)	Percent of adjusted cohort who received an award from your institution by August 31, 2013
First-time entering						
Full-time	902	900	2	898	293	33%
Part-time	64	64	0	64	7	11%
Non-first-time entering						
Full-time	590	589	1	588	366	62%
Part-time	254	253	1	252	98	39%

B2: Award and Enrollment Status at Eight Years

	2007 Cohort	Revised 2007 cohort (through August 31, 2015)	Exclusions to 2007 cohort (through August 31, 2015)	Adjusted 2007 cohort	Awarded by your institution (through August 31, 2015)	Students who did not receive an award from your institution by August 31, 2015				Percent of adjusted cohort who received an award from your institution by August 31, 2015	Percent of adjusted cohort who did not receive an award but are still enrolled at your institution or subsequently enrolled at another institution
						Number still enrolled at your institution	Number who subsequently enrolled at another institution	Number of students whose subsequent enrollment status is unknown	Total number who did not receive an award from your institution		
First-time entering											
Full-time	902	900	2	898	331	13	19	535	567	37%	4%
Part-time	64	64	0	64	12	2	1	49	52	19%	5%
Non-first-time entering											
Full-time	590	588	2	586	382	3	7	194	204	65%	2%
Part-time	254	252	2	250	112	8	0	130	138	45%	3%

You may use the space below to provide context for the data you have reported above.

Notes:
Please limit your response to no more than 1,000 characters (about 14 full lines).

Section 12: Adverse Actions

Within the past year, has any adverse action been taken against your institution or one of its programs by any other institutional or program accrediting agency recognized by the U.S. Department of Education?

Adverse actions include:

1. denial of candidacy, pre-accreditation, or accreditation;
2. withdrawal of candidacy, pre-accreditation, or accreditation;
3. probation or other similar action indicating problems that, if not addressed within a specified period not to exceed two years, will lead to termination of accreditation or candidacy; and,
4. show-cause for termination or probation (or similar action).

No

If "Yes," please list them below and provide an explanation.

Submission Information

Please review your institution's entries before submitting your Annual Report. When you are satisfied that your institution's entries are complete and accurate, please enter the information requested below and click, "**Submit Annual Report.**" Once it has been submitted, you will not be able to make any further changes, although you will still be able to log in and view what was submitted.

Respondent's Name: Sally Meredith

Respondent's Title: Chief of Staff, Office of the Provost

Date of Submission: May 4, 2016

Respondent's Phone: 207-228-8231

Respondent's E-Mail: sally.meredith@maine.edu

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