

**2017 Annual Report
University of Southern Maine**

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This report has been submitted.

Section 1: General Information

Institution Name: University of Southern Maine
Street Address 1: P.O. Box 9300, 96 Falmouth Street
Street Address 2:
City: Portland
State: ME
Zip + 4: 04104-9300
Main Phone Number: [1] 207-780-4141
Main Fax Number: [1] 207-780-4933
Country:
Website Address: www.usm.maine.edu
Control: Public
Profit Status: Not-for-profit

Information for dues invoice.

Dues invoices from CIHE of NEASC are sent to your institution's Chief Executive Officer. If you want to have the invoice sent to someone other than the CEO, please provide that information below.

Invoice Name:
Invoice Title:
Invoice Email:
Invoice Street Address 1:
Invoice Street Address 2:
Invoice City:
Invoice State:
Invoice Zip:
Invoice Country:

Section 2: Key Contact Information

Chief Executive Officer

Name: Dr. Glenn A. Cummings
Title: President
Street Address 1: P.O. Box 9300, 96 Falmouth Street
Street Address 2:
City: Portland
State: ME
Zip + 4: 04104-9300
Country:
E-Mail: usm.president@maine.edu
Phone Number: [1] 207-780-4480
Extension:
Fax Number:
Assistant Name: Julie Costanzo
Assistant Phone Number: [1] 207-780-4480

Assistant E-Mail: julie.costanzo@maine.edu

If there will be a change in your institution's Chief Executive Officer and the incoming CEO's contact information is available, please provide it below. If this information is not available at this time, please provide it when it is.

New CEO Name:

Title:

Email:

Effective Date of
Appointment:

Chief Academic Officer

Name: Dr. Jeannine Uzzi

Title: Provost

Street Address 1: P.O. Box 9300, 96 Falmouth Street

Street Address 2:

City: Portland

State: ME

Zip + 4: 04104-9300

Country:

E-Mail: jeannine.uzzi@maine.edu

Phone Number: [1] 207-780-4485

Extension:

Fax Number:

If there will be a change in your institution's Chief Academic Officer (CAO) and the incoming CAO's contact information is available, please provide it below. If this information is not available at this time, please provide it when it is.

New CAO Name:

Title:

Email:

Effective Date of
Appointment:

Accreditation Liaison Officer

Name: Ms. Sally Meredith

Title: Chief of Staff to the Provost

Street Address 1: P.O. Box 9300, 96 Falmouth Street

Street Address 2:

City: Portland

State: ME

Zip + 4: 04104-9300

Country:

E-Mail: sally.meredith@maine.edu

Phone Number: [1] 207-228-8231

Extension:

Fax Number:

If there will be a change in your institution's Accreditation Liaison Officer (ALO) and the incoming ALO's contact information is available, please provide it below. If this information is not available at this time, please provide it when it is.

New ALO Name:

Title:

Email:

Effective Date of
Appointment:

Notes:

Section 3: Enrollment

Section A: Fall Enrollment

	Full-Time Headcount	Part-Time Headcount	Total Headcount	FTE*
Undergraduate:				
Title IV Certificate:	0	0	0	0
Associate's:	0	0	0	0
Bachelor's:	3700	1533	5233	4136.87
Non-Degree Students:	50	906	956	303.33
Graduate:				
Master's:	412	725	1137	920.39
Ph.D:	6	37	43	29.55
Other Doctorate:	223	17	240	227.8
Non-Degree Students:	5	241	246	95.32
TOTAL	4396	3459	7855	5713.26

Formula for FTE: Undergraduate: 15 credits
Graduate: 9 credits

Institution's definition of a full-time load for undergraduate and graduate students:

Undergraduate: 15 credits

Graduate: 9 credits

Section B: 12-month Instructional Activity

Undergraduates: 4526

Graduates: 1503

TOTAL: 6029

Notes: Graduate includes First-Professional FTE

Section 4: Major Institutional Changes

Section 5: Contractual Arrangements

Please answer the following **two** Screening Questions to determine which part(s) of this Section (if any) are relevant for your institution.

Screening Question 1: Does your institution offer academic programs or courses for which your institution granted (or will grant) credit, but which are operated through a contractual relationship by which instruction is provided by an *agency or organization that is not regionally accredited*?

No

Screening Question 2: Does your institution anticipate offering any such programs or courses in the future?

No

List of contractual programs or courses:

Notes:

Section 6: Campus Locations

Location of main campus:

P.O. Box 9300, 96 Falmouth Street, Portland, ME

Main Campus Fall 2016 Enrollment: 7855

(Headcount)

Main Campus Annual Enrollment: 10146

(What do we mean by "annual enrollment?")

II: Other Principal Campus:

Screening Question I: Does your institution have or does it plan to establish other principal campuses as defined below:

A location away from the **Main Campus** that houses a portion or portions of the institution's academic program (e.g., the medical school), or a permanent location offering 100% of the degree requirements of one or more of the academic programs offered on the Main Campus, or which otherwise meets the definition of branch campus.

Yes

III. Branch Campuses and Instructional Locations

Screening Question II: Does your institution have or does it plan to establish a location other than the main campus as defined below:

Branch Campus: A location of an institution that is geographically apart and independent of the **Main Campus** and which meets all of the following criteria: a) offers 50% or more of an academic program leading to a degree, certification, or other recognized credential, or at which a degree may be completed; b) is permanent in nature; c) has its own faculty and administrative or supervisory organization; and d) has its own budgetary and hiring authority.

Instructional Location: Any instructional site geographically apart from the **Main Campus** where 50% or more of a degree or credit-bearing certificate can be completed, but which otherwise does not meet the definition of Branch Campus. Not included in this definition are courses that are short-term, non-credit, community service, or non-traditional credit-bearing courses that are offered on an occasional basis in response to constituency needs or interests.

Yes

List of off-campus locations and programs:

(sites listed alphabetically by state, city; programs ordered alphabetically by program name)

Site: **Gorham Campus**

37 College Avenue, Gorham, ME 04038

Type: **Other Principal Campus**

Status: **Current** Date Opened: **09/01/1875**

Total Annual Enrollment: **10146**

Fall Enrollment: **7855**

Site: **Lewiston Auburn Campus**

51 Westminster Street, Lewiston, ME 04240

Type: **Other Principal Campus**

Status: **Current** Date Opened: **09/01/1988**

Total Annual Enrollment: **10146**

Fall Enrollment: **7855**

Site: **Portsmouth Naval Shipyard**

Wyman Ave, Kittery, NH

Type: **Instructional Location**

Status: **Current** Date Opened: **09/01/2006**

Total Annual Enrollment: **94**

Fall Enrollment: **83**

Programs

Enrollment

Program: **Industrial Technology**

Degree Level: **B ***

Fall: **83** Annual: **94**

Total program enrollment for this site Fall: **83** Annual: **94**

*An asterisk after a degree level indicates a "degree completion" program.

Notes:

Section 7: Distance Education Programs

Please answer the following **two** Screening Questions to determine which part(s) of this Section (if any) are relevant for your institution.

Screening Question I: Does your institution offer either of the following:

- a. degree or credit-bearing certificate programs in which 50% or more of the credit towards the degree/certificate could be earned through technologically mediated instruction to students at a distance; or,

b. degree completion programs in which a degree could be completed on-line?

Yes

Screening Question 2: Does your institution have any plans to offer any such program(s) in 2017-2018?

No

List of distance education programs:

Program Name: **Leadership and Organizational Studies**

Degree Level: **B ***

Enrollment - Fall: **56** Annual: **74**

Program Name: **Liberal Studies, Humanities Track**

Degree Level: **B ***

Enrollment - Fall: **46** Annual: **60**

Program Name: **ETEP Unified K-8 General and Special Education concentration**

Degree Level: **M ***

Enrollment - Fall: **91** Annual: **113**

Program Name: **Leadership and Organizational Studies, Integrative Prof Studies Track**

Degree Level: **B ***

Enrollment - Fall: **17** Annual: **19**

Program Name: **Communication**

Degree Level: **B ***

Enrollment - Fall: **147** Annual: **177**

Program Name: **Certificate of Graduate Study in Leadership Studies**

Degree Level: **ND ***

Enrollment - Fall: **3** Annual: **5**

Program Name: **Certificate of Graduate Studies in Creative Leadership/Global Strategy**

Degree Level: **ND ***

Enrollment - Fall: **5** Annual: **5**

Program Name: **Social and Behavioral Sciences**

Degree Level: **B ***

Enrollment - Fall: **194** Annual: **236**

Program Name: **Certificate in Leadership Studies**

Degree Level: **ND ***

Enrollment - Fall: **0** Annual: **0**

Program Name: **Certificate in Gerontology**

Degree Level: **ND ***

Enrollment - Fall: **4** Annual: **6**

Program Name: **Certificate in Creative Leadership & Global Studies**

Degree Level: **ND ***

Enrollment - Fall: **1** Annual: **1**

Program Name: **Business Administration General Management Generalist Track**

Degree Level: **B ***

Enrollment - Fall: **211** Annual: **261**

Program Name: **Applied Technical Leadership**

Degree Level: **B ***

Enrollment - Fall: **14** Annual: **21**

Program Name: **Adult and Higher Education**

Degree Level: **M ***

Enrollment - Fall: **45** Annual: **58**

Program Name: **Certificate of Graduate Studies in English as a Second Language**

Degree Level: **ND ***

Enrollment - Fall: **11** Annual: **23**

Program Name: **Leadership Studies**

Degree Level: **M ***

Enrollment - Fall: **47** Annual: **63**

Program Name: **Certificate of Graduate Studies in Applied Behavior Analysis**

Degree Level: **ND ***

Enrollment - Fall: **3** Annual: **6**

Program Name: **Educational Psychology**

Degree Level: **M ***

Enrollment - Fall: **16** Annual: **17**

Program Name: **Certificate of Graduate Studies in HealthCare Quality/Patient Safety**

Degree Level: **ND ***

Enrollment - Fall: **0** Annual: **3**

Total Distance Education Enrollment - Fall: 911 Annual: 1148

*An asterisk after a degree level indicates a "degree completion" program.

Notes:

Section 8: Correspondence Education Programs

Screening Question 1: Does your institution offer programs which meet the criteria below established by the Higher Education Opportunity Act of 2008?

- a. Education provided through one or more courses by an institution under which the institution provides instructional materials, by mail or electronic transmission, including examinations on the materials, to students who are separated from the instructor.
- b. Interaction between the instructor and the student is limited, is not regular and substantive, and is primarily initiated by the student.
- c. Correspondence courses are typically self-paced.
- d. Correspondence education is not distance education.

No

Screening Question 2: Does your institution have any plans to offer any such programs in the future?

No

List of correspondence education programs:

Total Correspondence Education Enrollment - Fall: 0 Annual: 0

*An asterisk after a degree level indicates a "degree completion" program.

Notes:

Section 9: Financial & Audit Information

I) Please enter your institution's Total Expenses for FY2016.

Note:

- a. For institutions using GASB Reporting Standards, enter the amount from IPEDS (Finance), Part C (Expenses and Other Deductions), Line 19 (Total Expenses and Deductions).
- b. For institutions using FASB Reporting Standards, enter the amount from IPEDS (Finance), Part B (Summary of Changes in Net Assets), Line 02 (Total Expenses).
- c. For institutions that do not complete IPEDs, include both operating and non operating expenses (i.e., Total Expenses).

I) Total Expenses: \$ 153176000

II) Did your institution receive an **unqualified** opinion from your auditor with regard to the most recent audited financial statement?

Yes

Notes:

Section 10: Title IV-Related Matters: Direct Assessment and Cohort Default Rates

Screening Question: Does your institution participate in Title IV student financial assistance programs?

Examples of programs included here are subsidized and unsubsidized Stafford Loans; subsidized and unsubsidized Federal Direct Stafford/Ford loans; and Pell Grants.

Yes

1) Does your institution use its accreditation (or candidacy status) with the Commission on Institutions of Higher Education of NEASC as the basis for its eligibility to participate in the Title IV student financial assistance programs?

The accrediting agency upon which your eligibility is based is listed in Question 15 of your most recent "Application for Approval to Participate in Federal Student Financial Aid Programs."

Yes

If "No," upon whose accreditation is your institution's eligibility based?

2) Does your institution offer any instructional programs via direct assessment, that is programs for which the requirements for graduation are based on the mastery of competencies rather than the accrual of credit hours? [\[What do you mean by "direct assessment?"\]](#)

No

If "Yes," please list them in the box below.

3) Please provide your institution's official **three-year** cohort default rate and the total number of borrowers in repayment upon which the rate is based for the three most recent years. [\[Where do I find these numbers?\]](#)

An institution's cohort default rate is calculated annually by the U.S. Department of Education. Draft rates are provided to the institution by the Department in February; official rates are released in late summer.

FY2012 3-Year Cohort Default Rate: 6% (released in 2015)	Number of borrowers 2396 this rate is based on:
FY2013 3-Year Cohort Default Rate: 5.9% (released in 2016)	Number of borrowers 2568 this rate is based on:
FY2014 3-Year Cohort Default Rate: 6.7% (draft rate released in 2017)	Number of borrowers 2373 this rate is based on:

Notes:

Section 11: Student Achievement and Success

A:

For recognition purposes and consistent with the Commission's Standard on Public Disclosure (9.24), the Commission is required by the U.S. Department of Education and the Council for Higher Education Accreditation (CHEA) to require institutions to provide information to the public, including information on student achievement and success, as determined by the institution.

Below, please indicate the URLs of the principal website(s) where the institution provides the public with information on student achievement and success.

URL Link 1: http://usm.maine.edu/sites/default/files/oir/Student_Consumer_Information_HEOA.pdf

URL Link 2: <http://usm.maine.edu/oir/ipeds>

URL Link 3: <http://usm.maine.edu/oir>

Notes:

Please limit your response to no more than 1,000 characters (about 14 full lines)

B: Outcomes Measures Survey

In the tables below, please enter the data your institution submitted this Spring on the IPEDS Outcomes Measures Survey. As you will see, certain cells will automatically calculate based on the data you enter.

B1: Award Status at Six Years

	2008 cohort	Revised 2008 cohort (through August 31, 2014)	Exclusions to 2008 cohort (through August 31, 2014)	Adjusted 2008 cohort	Awarded by your institution (through August 31, 2014)	Percent of adjusted cohort who received an award from your institution by August 31, 2014
First-time entering						
Full-time	999	992	1	991	321	32%
Part-time	38	38	0	38	4	11%
Non-first-time entering						
Full-time	525	525	0	525	317	60%
Part-time	200	200	1	199	85	43%
Total entering students	1762	1755	2	1753	727	41%

B2: Award and Enrollment Status at Eight Years

	2008 Cohort (preloaded adjusted cohort from six years award status screen)	Additional Exclusions (September 1, 2014 through August 31, 2016)	Adjusted 2008 cohort	Awarded by your institution	Students who did not receive an award from your institution through August 31, 2016				Percent of adjusted cohort who received an award from your institution	Percent of adjusted cohort who did not receive an award but are still enrolled at your institution or subsequently enrolled at another institution
					Number still enrolled at your institution	Number who subsequently enrolled at another institution	Number of students whose subsequent enrollment status is unknown	Total number who did not receive an award from your institution		
First-time entering										
Full-time	991	0	991	369	19	19	584	622	37%	4%
Part-time	38	0	38	4	1	1	32	34	11%	5%
Non-first-time entering										
Full-time	525	0	525	337	3	5	180	188	64%	2%
Part-time	199	0	199	91	4	1	103	108	46%	3%
Total entering students	1753	0	1753	801	27	26	899	952	46%	3%

You may use the space below to provide context for the data you have reported above.

Notes:

Please limit your response to no more than 1,000 characters (about 14 full lines).

Section 12: Adverse Actions

Within the past year, has any adverse action been taken against your institution or one of its programs by any other institutional or program accrediting agency recognized by the U.S. Department of Education?

Adverse actions include:

1. denial of candidacy, pre-accreditation, or accreditation;
2. withdrawal of candidacy, pre-accreditation, or accreditation;
3. probation or other similar action indicating problems that, if not addressed within a specified period not to exceed two years, will lead to termination of accreditation or candidacy; and,
4. show-cause for termination or probation (or similar action).

No

If "Yes," please list them below and provide an explanation.

Submission Information

Please review your institution's entries before submitting your Annual Report. When you are satisfied that your institution's entries are complete and accurate, please enter the information requested below and click, "**Submit Annual Report.**" Once it has been submitted, you will not be able to make any further changes, although you will still be able to log in and view what was submitted.

Respondent's Name: Sally Meredith

Respondent's Title: Chief of Staff for Academic Affairs

Date of Submission: April 27, 2017

Respondent's Phone: 207-228-8231

Respondent's E-Mail: sally.meredith@maine.edu

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