

**2018 Annual Report
University of Southern Maine**

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This report has been submitted.

Section 1: General Information and Institutional Catalog

Institution Name: University of Southern Maine
Street Address 1: P.O. Box 9300, 96 Falmouth Street
Street Address 2:
City: Portland
State: ME
Zip + 4: 04104-9300
Main Phone Number: [1] 207-780-4141
Main Fax Number: [1] 207-780-4933
Country:
Website Address: www.usm.maine.edu
Control: Public
Profit Status: Not-for-profit

Section 2: Key Contact Information

Chief Executive Officer

Name: Dr. Glenn A. Cummings
Title: President
Street Address 1: P.O. Box 9300, 96 Falmouth Street
Street Address 2:
City: Portland
State: ME
Zip + 4: 04104-9300
Country:
E-Mail: president@usm.maine.edu
Phone Number: [1] 207-780-4480
Extension:
Fax Number:
Assistant Name: Julie Costanzo
Assistant Phone Number: [1] 207-780-4480
Assistant E-Mail: julie.costanzo@maine.edu

If there will be a change in your institution's Chief Executive Officer and the incoming CEO's contact information is available, please provide it below. If this information is not available at this time, please provide it when it is.

New CEO Name:

Title:

Email:

Effective Date of
Appointment:

Chief Academic Officer

Name: Dr. Jeannine Uzzi
Title: Provost
Street Address 1: P.O. Box 9300, 96 Falmouth Street
Street Address 2:
City: Portland

State: ME
Zip + 4: 04104-9300
Country:
E-Mail: jeannine.uzzi@maine.edu
Phone Number: [1] 207-780-4485
Extension:
Fax Number:

If there will be a change in your institution's Chief Academic Officer (CAO) and the incoming CAO's contact information is available, please provide it below. If this information is not available at this time, please provide it when it is.

New CAO Name:

Title:

Email:

Effective Date of
Appointment:

Accreditation Liaison Officer

Name: Ms. Sally Meredith
Title: Chief of Staff to the Provost
Street Address 1: P.O. Box 9300, 96 Falmouth Street
Street Address 2:
City: Portland
State: ME
Zip + 4: 04104-9300
Country:
E-Mail: sally.meredith@maine.edu
Phone Number: [1] 207-228-8231
Extension:
Fax Number:

If there will be a change in your institution's Accreditation Liaison Officer (ALO) and the incoming ALO's contact information is available, please provide it below. If this information is not available at this time, please provide it when it is.

New ALO Name:

Title:

Email:

Effective Date of
Appointment:

Chief Financial Officer (CFO)

Name: Mr. Buster Neel
Title: Chief Business Officer
Street Address 1: P.O. Box 9300, 96 Falmouth Street
Street Address 2:
City: Portland
State: ME
Zip + 4: 04011
Country: United States
E-Mail: buster.neel@maine.edu
Phone Number: 207-780-4497
Extension:
Fax Number: 207-780-4549

If there will be a change in your institution's Chief Financial Officer and the incoming CFO's contact information is available, please provide it below. If this information is not available at this time, please provide it when it is.

New CFO Name:

Title:

Email:

Effective Date of Appointment:

Notes: We are currently in search to replace Buster Neel. We anticipate a new hire decision by June 1, 2018.

Section 3: Enrollment

Section A: Fall Enrollment

	Full-Time Headcount	Part-Time Headcount	Total Headcount	FTE*
Undergraduate:				
Short-Term, Title IV-Eligible Certificates:	0	0	0	0
Associate's:	0	0	0	0
Bachelor's:	3873	1375	5248	4316.4
Dual Enrollment Students:	0	364	364	102
Non-Degree Students:	28	470	498	161.433
Graduate:				
Master's:	479	718	1197	982.555
Ph.D:	6	33	39	26.6667
Other Doctorate:	223	16	239	226.867
Non-Degree Students:	4	205	209	78.4333
TOTAL	4613	3181	7794	5894.35

Undergraduate: 15 credits

Graduate: 9 credits

Section B: IPEDS 12-month Enrollment Survey

Undergraduates: 4727

Graduates: 1277

TOTAL: 6004

Section C: Enrollment in Competency-based Education programs (CBE)

	Number of Programs	Headcount Enrollment
Undergraduate certificate Programs	0	0
Undergraduate degree programs	0	0
Graduate certificate programs	0	0
Graduate degree programs	0	0
TOTAL:	0	0

Notes: The Section B: IPEDS 12-month Enrollment Survey does NOT include the Doctorates Professional Practice FTE, as IPEDS splits Graduate and Doctorates Professional Practice into two different categories. For convenience the Doctorates Professional Practice had 232 FTE.

Section 4: Major Institutional Changes

Section 5: Contractual Relationships

Screening Question 1: In 2017-2018, did your institution offer academic programs or courses for which your institution granted (or will grant) credit, but which are operated through a contractual relationship by which instruction is provided by an *agency or organization that is not regionally accredited*?

No

Screening Question 2: Does your institution anticipate offering any such programs or courses in the future?

No

List of contractual programs or courses:

Notes:

Section 6: Off-Campus Locations and Programs

Location of main campus:

I: Main Campus:

According to our records, your institution's Main Campus is located at:

P.O. Box 9300, 96 Falmouth Street, Portland, ME

Main Campus Fall 2017 Enrollment: 7794
(Headcount)

Main Campus Annual Enrollment: 10096

II: Other Principal Campus:

Screening Question I: Does your institution have or does it plan to establish other principal campuses as defined below:

A location away from the **Main Campus** that houses a portion or portions of the institution's academic program (e.g., the medical school), or a permanent location offering 100% of the degree requirements of one or more of the academic programs offered on the Main Campus, or which otherwise meets the definition of branch campus.

No

III: Branch Campuses and Instructional Locations

Screening Question II: Does your institution have or does it plan to establish a location other than the main campus as defined below:

Branch Campus: A location of an institution that is geographically apart and independent of the **Main Campus** and which meets all of the following criteria: a) offers 50% or more of an academic program leading to a degree, certification, or other recognized credential, or at which a degree may be completed; b) is permanent in nature; c) has its own faculty and administrative or supervisory organization; and d) has its own budgetary and hiring authority.

Instructional Location: Any instructional site geographically apart from the **Main Campus** where 50% or more of a degree or credit-bearing certificate can be completed, but which otherwise does not meet the definition of Branch Campus. Not included in this definition are courses that are short-term, non-credit, community service, or non-traditional credit-bearing courses that are offered on an occasional basis in response to constituency needs or interests.

Yes

List of off-campus sites and programs:
(sites listed alphabetically by state, city; programs ordered alphabetically by program name)

Site: **Gorham Campus**
37 College Avenue, Gorham, ME 04038
Type: **Other Principal Campus**
Status: **Current** Date Opened: **09/01/1875**
Fall Enrollment: **0**
Total Annual Enrollment: *Not provided*

Programs	Enrollment
Total enrollment for this site Fall: 0 Annual: 0	

Site: **Lewiston Auburn Campus**
51 Westminster Street, Lewiston, ME 04240
Type: **Other Principal Campus**
Status: **Current** Date Opened: **09/01/1988**
Fall Enrollment: **0**
Total Annual Enrollment: *Not provided*

Programs	Enrollment
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Total enrollment for this site Fall: 0 Annual: 0

Site: **Portsmouth Naval Shipyard**
Wyman Ave, Kittery, NH
 Type: **Instructional Location**
 Status: **Current** Date Opened: **09/01/2006**
 Fall Enrollment: **58**
 Total Annual Enrollment: *Not provided*

Programs

Program: **Industrial Technology**
 Degree Level: **B ***

Enrollment

Fall: **58** Annual: **75**

Total enrollment for this site Fall: **58** Annual: **75**

*An asterisk after a degree level indicates a "degree completion" program.

Notes:

Section 7: Distance Education Programs

Screening Question 1: During 2017-2018, did your institution offer either of the following:

- a. degree or certificate programs in which 50% or more of the credit towards the degree/certificate can be earned through technologically mediated instruction to students at a distance; or,
- b. degree completion programs in which a degree can be completed on-line?

Yes

Screening Question 2: Does your institution have any plans to offer any such programs in the future?

No

List of distance education programs:

Program Name: **Liberal Studies, Humanities Track**

Degree Level: **B ***

Enrollment - Fall: **35** Annual: **46**

Program Name: **Communication**

Degree Level: **B ***

Enrollment - Fall: **135** Annual: **164**

Program Name: **Educational Psychology**

Degree Level: **M ***

Enrollment - Fall: **23** Annual: **33**

Program Name: **ETEP Unified K-8 General and Special Education concentration**

Degree Level: **M ***

Enrollment - Fall: **78** Annual: **94**

Program Name: **Leadership and Organizational Studies**

Degree Level: **B ***

Enrollment - Fall: **63** Annual: **75**

Program Name: **Certificate of Graduate Study in Leadership Studies**

Degree Level: **ND ***

Enrollment - Fall: **4** Annual: **5**

Program Name: **Leadership Studies**

Degree Level: **M ***

Enrollment - Fall: **57** Annual: **71**

Program Name: **Certificate in Leadership Studies**

Degree Level: **ND ***

Enrollment - Fall: **3** Annual: **3**

Program Name: **Social and Behavioral Sciences**

Degree Level: **B ***

Enrollment - Fall: **202** Annual: **250**

Program Name: **Leadership and Organizational Studies, Integrative Prof Studies Track**

Degree Level: **B ***

Enrollment - Fall: **19** Annual: **26**

Program Name: **Certificate of Graduate Studies in HealthCare Quality/Patient Safety**

Degree Level: **ND ***

Enrollment - Fall: **9** Annual: **11**

Program Name: **Certificate of Graduate Studies in English as a Second Language**

Degree Level: **ND ***

Enrollment - Fall: **10** Annual: **13**

Program Name: **Certificate of Graduate Studies in Applied Behavior Analysis**

Degree Level: **ND ***

Enrollment - Fall: **3** Annual: **3**

Program Name: **Certificate in Gerontology**

Degree Level: **ND ***

Enrollment - Fall: **4** Annual: **4**

Program Name: **Certificate in Creative Leadership & Global Studies**

Degree Level: **ND ***

Enrollment - Fall: **0** Annual: **0**

Program Name: **Business Administration General Management Generalist Track**

Degree Level: **B ***

Enrollment - Fall: **215** Annual: **279**

Program Name: **Applied Technical Leadership**

Degree Level: **B ***

Enrollment - Fall: **15** Annual: **18**

Program Name: **Adult and Higher Education**

Degree Level: **M ***

Enrollment - Fall: **47** Annual: **55**

Program Name: **Certificate of Graduate Studies in Creative Leadership/Global Strategy**

Degree Level: **ND ***

Enrollment - Fall: **1** Annual: **2**

Total Distance Education Enrollment - Fall: **923** Annual: **1152**

*An asterisk after a degree level indicates a "degree completion" program.

Notes:

Section 8: Correspondence Education Programs

Screening Question 1: During 2017-2018, did your institution offer programs which meet the criteria below established by the Higher Education Opportunity Act of 2008?

- Education provided through one or more courses by an institution under which the institution provides instructional materials, by mail or electronic transmission, including examinations on the materials, to students who are separated from the instructor.
- Interaction between the instructor and the student is limited, is not regular and substantive, and is primarily initiated by the student.
- Correspondence courses are typically self-paced.
- Correspondence education is not distance education.

No

Screening Question 2: Does your institution have any plans to offer any such programs in the future?

No

List of correspondence education programs:

Total Correspondence Education Enrollment - Fall: **0** Annual: **0**

*An asterisk after a degree level indicates a "degree completion" program.

Notes:

Section 9: Financial & Audit Information

I) Total Expenses: \$ 161124000

II) Did your institution receive an **unqualified** opinion from your auditor with regard to the most recent audited financial statement?

Yes

Notes:

Section 10: Title IV Eligibility and Cohort Default Rates

Screening Question: Does your institution participate in Title IV student financial assistance programs?

Yes

1) Does your institution use its accreditation (or candidacy status) with the Commission on Institutions of Higher Education (NEASC) as the basis for its eligibility to participate in the Title IV student financial assistance programs?

Yes

If "No," upon whose accreditation is your institution's eligibility based?

2) Does your institution offer any instructional programs which use direct assessment, in lieu of credit hours or clock hours, as a way to measure student learning?

No

If "Yes," please list them in the box below.

3) Your institution's official three-year cohort default rate for the most recent three years:

2013 3-Year Cohort Default Rate: (released in 2016)	5.9%	Number of borrowers this rate is based on:	2568
2014 3-Year Cohort Default Rate: (released in 2017)	6.7%	Number of borrowers this rate is based on:	2373
2015 3-Year Cohort Default Rate: (draft rate released in 2018)	6.2%	Number of borrowers this rate is based on:	2322

4) The Federal Loan Repayment rates are available through the College Score Card for institutions offering undergraduate programs.

2015 Federal loan repayment rate:	66.1 %
2016 Federal loan repayment rate:	64.4 %
2017 Federal loan repayment rate:	%

Notes:

Section 11: Student Achievement and Success

A: Websites where information about student achievement and success is provided to the public

For recognition purposes and consistent with the Commission's Standard on Public Disclosure (9.24), the Commission is required by the U.S. Department of Education and the Council for Higher Education Accreditation (CHEA) to require institutions to provide information to the public, including information on student achievement and success, as determined by the institution.

Below, please indicate the URLs of the principal website(s) where the institution provides the public with information on student achievement and success.

- URL Link 1: <https://usm.maine.edu/oir>
- URL Link 2: <https://usm.maine.edu/assessment>
- URL Link 3:

Notes:

Please limit your response to no more than 1,000 characters (about 14 full lines)

B: Outcomes Measures Survey

B1: Establishing Cohorts (July 1, 2009 - June 30, 2010: Full Year)

<u>Degree/Certificate-Seeking Undergraduate Students</u>	<u>2009-10 cohort</u>	<u>Exclusions to 2009-10 cohort</u>	<u>Adjusted 2009-10 cohort</u>
First-time entering			
Full-time			
Pell Grant recipients	288	1	287
Non-Pell Grant recipients	576	1	575
Part-time			
Pell Grant recipients	22	0	22
Non-Pell Grant recipients	35	0	35
Non-first-time entering			

Full-time			
Pell Grant recipients	304	0	304
Non-Pell Grant recipients	564	0	564
Part-time			
Pell Grant recipients	105	2	103
Non-Pell Grant recipients	259	0	259
Total Entering			
Pell Grant recipients	719	3	716
Non-Pell Grant recipients	1434	1	1433

B2: Award Status at Four Years After Entry						
<u>Undergraduate Students</u>	Adjusted 2009-10 cohort	Number of students conferred an award by your institution (Highest award by August 31, 2013)			Total number awarded by your institution	Percent of adjusted cohort that received an award from your institution
		Certificates	Associates	Bachelors		
		First-time entering				
Full-time						
Pell Grant recipients	287	0	0	33	33	11%
Non-Pell Grant recipients	575	0	0	75	75	13%
Part-time						
Pell Grant recipients	22	0	0	0	0	0%
Non-Pell Grant recipients	35	0	0	0	0	0%
Non-first-time entering						
Full-time						
Pell Grant recipients	304	0	0	115	115	38%
Non-Pell Grant recipients	564	0	0	281	281	50%
Part-time						
Pell Grant recipients	103	0	0	32	32	31%
Non-Pell Grant recipients	259	0	0	66	66	25%
Total Entering						
Pell Grant recipients	716	0	0	180	180	25%
Non-Pell Grant recipients	1433	0	0	422	422	29%

B3: Award Status at Six Years						
<u>Undergraduate Students</u>	Adjusted 2009-10 cohort	Number of students conferred an award by your institution (Highest award by August 31, 2015)			Total number awarded by your institution	Percent of adjusted cohort that received an award from your institution
		Certificates	Associates	Bachelors		
		First-time entering				
Full-time						

Pell Grant recipients	287	0	0	95	95	33%
Non-Pell Grant recipients	575	0	0	188	188	33%
Part-time						
Pell Grant recipients	22	0	0	2	2	9%
Non-Pell Grant recipients	35	0	0	1	1	3%
<u>Non-first-time entering</u>						
Full-time						
Pell Grant recipients	304	0	0	162	162	53%
Non-Pell Grant recipients	564	0	0	358	358	63%
Part-time						
Pell Grant recipients	103	0	0	42	42	41%
Non-Pell Grant recipients	259	0	0	87	87	34%
<u>Total Entering</u>						
Pell Grant recipients	716	0	0	301	301	42%
Non-Pell Grant recipients	1433	0	0	634	634	44%

B4: Award and Enrollment Status at Eight Years											
	Adjusted 2009-10 cohort	Number of students conferred an award by your institution (From entry through August 31, 2017)			Total number of adjusted cohort that received an award from your institution	Percent of adjusted cohort that received an award from your institution	Number of students who did not receive an award from your institution (From entry through August 31, 2017)				Percent of adjusted cohort that did not receive an award, but are still enrolled at your institution and enrolled at another institution after leaving your institution
		Certificates	Associates	Bachelors			Number still enrolled at your institution	Number who enrolled at another institution	Number of students whose subsequent enrollment status is unknown	Total number who did not receive an award from your institution	
<u>First-time entering</u>											
Full-time											
Pell Grant recipients	287	0	0	109	109	38%	3	86	89	178	31%
Non-Pell Grant recipients	575	0	0	218	218	38%	12	207	138	357	38%
Part-time											
Pell Grant recipients	22	0	0	3	3	14%	1	9	9	19	45%
Non-Pell Grant recipients	35	0	0	3	3	9%	2	15	15	32	49%
<u>Non-first-time entering</u>											
Full-time											
Pell Grant recipients	304	0	0	177	177	58%	5	61	61	127	22%
Non-Pell Grant recipients	564	0	0	371	371	66%	7	107	79	193	20%
Part-time											

Pell Grant recipients	103	0	0	48	48	47%	1	19	35	55	19%
Non-Pell Grant recipients	259	0	0	96	96	37%	8	71	84	163	31%
Total Entering											
Pell Grant recipients	716	0	0	337	337	47%	10	175	194	379	26%
Non-Pell Grant recipients	1433	0	0	688	688	48%	29	400	316	745	30%
Notes:											

Section 12: Adverse Actions

No

If "Yes," please list them below and provide an explanation.

Submission Information

Respondent's Name: Sally Meredith
 Respondent's Title: Chief of Staff
 Date of Submission: 5/11/2018
 Respondent's Phone: 2078414783
 Respondent's E-Mail: sally.meredith@maine.edu

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