
INSTITUTION INFORMATION

Official Name of Institution: University of Southern Maine

Location Address: 96 Falmouth Street, PO Box 9300

City: Portland

State/Province: ME

Zipcode: 04104-9300

Country (ISO 3166): United States

Main World Wide Web (URL) Address: http://www.usm.maine.edu/

Main Financial Aid Office URL: http://www.usm.maine.edu/fin/

Main Scholarship URL: http://www.usm.maine.edu/scholarships/

Student Financial Aid Contact: The individual students should contact for financial aid information (for publication)

Social Title: Mr.
First Name: Keith
Middle Name: P.
Last Name: Dubois
Suffix:

Title: Director of Financial Aid
Address 1: 37 College Avenue
Address 2: 107 Bailey Hall

City: Gorham
State/Province: ME
Zipcode: 04038
Country: United States

Phone: 207-780-5122
Fax: 207-780-5143
E-mail: dubois@maine.edu

Survey Respondent: The individual who completed this survey – in case we need clarification/further information (not for publication)

Social Title: Mr.
First Name: Chris
Middle Name: Barr
Last Name: 
Suffix:

Title: Administrative Specialist
Address 1: 
Address 2: 

City: 
State/Province: ME
Zipcode: 
Country: United States

Phone: 207-780-4203
Fax: 207-780-4055
E-mail: cbarr@usm.maine.edu
**AY Academic Year**
Please indicate the academic year for which you are reporting data in items FA1 through FA7 below:

2014–2015 final

**NM Needs-Analysis Methodology**
Which needs-analysis methodology does your institution use in awarding institutional aid? [CDS H1]

- Both FM and IM
- Federal Methodology (FM)
- Institutional Methodology (IM)

**FA1. Aid Awarded to Enrolled Undergraduates [CDS H1]**
- Enter total dollar amounts awarded to enrolled full-time and less than full-time degree-seeking undergraduates (using the same cohort reported in CDS Question B1, “total degree-seeking undergraduates”) in the following categories.
- Please report figures and cohort for the academic year entered in item AY above.
- Include aid awarded to international students (i.e., those not qualifying for federal aid).
- Aid that is non-need-based but that was used to meet need should be counted as need-based aid.
- Note that all figures in the "Previously Reported" cells are for reference purposes only and cannot be updated on the form.

<table>
<thead>
<tr>
<th>Scholarships/Grants</th>
<th>Current Update</th>
<th>Previously Reported</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Need-Based</td>
<td>Non-Need-Based</td>
</tr>
<tr>
<td>Federal</td>
<td>$11,572,200</td>
<td>$0</td>
</tr>
<tr>
<td>State (i.e. all states, not only the state in which your institution is located)</td>
<td>$2,329,563</td>
<td>$1,244</td>
</tr>
<tr>
<td>Institutional: Endowed scholarships, annual gifts and tuition funded grants, awarded by the college, excluding athletic aid and tuition waivers (which are reported below)</td>
<td>$5,131,010</td>
<td>$721,350</td>
</tr>
<tr>
<td>Scholarships/grants from external sources (e.g., Kiwanis, National Merit) not awarded by the college</td>
<td>$1,880,217</td>
<td>$1,101,806</td>
</tr>
<tr>
<td>TOTAL Scholarships/Grants</td>
<td>$20,912,990</td>
<td>$1,824,400</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Self-Help</th>
<th>Current Update</th>
<th>Previously Reported</th>
</tr>
</thead>
<tbody>
<tr>
<td>Student loans from all sources (excluding Parent Loans which are reported below)</td>
<td>$23,212,089</td>
<td>$6,718,175</td>
</tr>
<tr>
<td>Federal Work-Study</td>
<td>$4,149,766</td>
<td></td>
</tr>
<tr>
<td>State and other work-study/employment</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>TOTAL Self-Help</td>
<td>$27,361,855</td>
<td>$6,718,175</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Other</th>
<th>Current Update</th>
<th>Previously Reported</th>
</tr>
</thead>
<tbody>
<tr>
<td>Parent Loans</td>
<td>$765,225</td>
<td>$1,639,643</td>
</tr>
<tr>
<td>Tuition Waivers</td>
<td>$526,032</td>
<td>$78,382</td>
</tr>
<tr>
<td>Athletic Awards</td>
<td>$0</td>
<td>$0</td>
</tr>
</tbody>
</table>

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FA2. Number of Enrolled Students Awarded Financial Aid [CDS H2]
List the number of enrolled degree-seeking full-time undergraduates who applied for and were awarded financial aid from any source.
- Aid that is non-need-based but that was used to meet need should be counted as need-based aid.
- Numbers should reflect the cohort awarded the dollars reported in FA1. [CDS H1]
- Students may be counted in more than one row and full-time freshmen should be included in full-time undergraduate counts.
- Note that all figures in the "Previously Reported" cells are for reference purposes only and cannot be updated on the form.

<table>
<thead>
<tr>
<th>Current Update</th>
<th>Previously Reported</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>First-Time Freshmen</td>
</tr>
<tr>
<td>a. Number of degree-seeking undergraduate students (CDS Item B1 if reporting Fall 2015 cohort)</td>
<td>701</td>
</tr>
<tr>
<td>b. Number of students in line a who applied for need-based financial aid</td>
<td>654</td>
</tr>
<tr>
<td>c. Number of students in line b who were determined to have financial need</td>
<td>523</td>
</tr>
<tr>
<td>d. Number of students in line c who were awarded any financial aid</td>
<td>522</td>
</tr>
<tr>
<td>e. Number of students in line d who were awarded any need-based scholarship or grant aid</td>
<td>494</td>
</tr>
<tr>
<td>f. Number of students in line d who were awarded any need-based self-help aid</td>
<td>413</td>
</tr>
<tr>
<td>g. Number of students in line d who were awarded any non-need-based scholarship or grant aid</td>
<td>25</td>
</tr>
<tr>
<td>h. Number of students in line d whose need was fully met (exclude PLUS loans, unsubsidized loans, and private alternative loans)</td>
<td>225</td>
</tr>
<tr>
<td>i. On average, the percentage of need that was met of students who were awarded any need-based aid. Exclude any aid that was awarded in excess of need as well as any resources that were awarded to replace EFC (PLUS loans, unsubsidized loans, and private alternative loans)</td>
<td>73</td>
</tr>
<tr>
<td>j. The average financial aid package of those in line d. Exclude any resources that were awarded to replace EFC (PLUS loans, unsubsidized loans, and private alternative loans)</td>
<td>$12,693</td>
</tr>
<tr>
<td>k. Average need-based scholarship or grant award of those in line e</td>
<td>$7,212</td>
</tr>
<tr>
<td>l. Average need-based self-help award (excluding PLUS loans, unsubsidized loans, and private alternative loans) of those in line f</td>
<td>$6,801</td>
</tr>
<tr>
<td>m. Average need-based loan (excluding PLUS loans, unsubsidized loans, and private alternative loans) of those in line f who were awarded a need-based loan</td>
<td>$5,845</td>
</tr>
</tbody>
</table>

Number of Enrolled Students Awarded Non-need-based Scholarships and Grants
List the number of enrolled degree-seeking full-time undergraduates who had no financial need and who were awarded institutional non-need-based scholarship or grant aid.
- Numbers should reflect the cohort awarded the dollars reported in FA1. [CDS H1]
- Full-time freshmen should be included in full-time undergraduate counts.

| n. Number of students in line a who had no financial need and who were awarded institutional non-need-based scholarship or grant aid (exclude those who were awarded athletic awards and/or tuition benefits) | 62 | 140 | 56 | 118 |
| o. Average award to students in line n | $4,242 | $4,120 | $4,312 | $3,934 |
### FA3. Indebtedness

The following are the graduates and loan types to include and exclude in completing FA3a-b (CDS H4 and H5):

**Include:**
- 2015 undergraduate class: all students who started at your institution as first-time students and received a bachelor’s degree between July 1, 2014 and June 30, 2015
- Only loans made to students who borrowed while enrolled at your institution
- Co-signed loans

**Exclude:**
- Students who transferred in
- Money borrowed at other institutions
- Parent loans
- Students who did not graduate or who graduated with another degree or certificate, but no bachelor’s degree

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#### a. Provide the number of students in the 2015 undergraduate class who started at your institution as first-time students and received a bachelor’s degree between July 1, 2014 and June 30, 2015. EXCLUDE students who transferred into your institution.

#### b. Enter Number and Percent of students in class (defined in FA3a above) borrowing from federal, non-federal, and any loan sources, and the average (mean) amount borrowed.

<table>
<thead>
<tr>
<th>I. Any loan program: Federal Perkins, Federal Stafford Subsidized and Unsubsidized, institutional, state, private loans that your institution is aware of, etc. INCLUDE both Federal Direct Student Loans and Federal Family Education Loans.</th>
<th>Number in the class (defined in FA3a above) who borrowed</th>
<th>Percent of the class (defined above) who borrowed (nearest 1%)</th>
<th>Average per-undergraduate-borrower cumulative principal borrowed, of those in the first column (nearest $1)</th>
</tr>
</thead>
<tbody>
<tr>
<td>III. Institutional loan programs</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>IV. State loan programs.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>V. Private alternative loans made by a bank or lender.</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Items FA3c-g, below, pertain to all full-time undergraduates. Note that all figures in the "Previously Reported" cells are for reference purposes only and cannot be updated on the form.

### c.
For the academic year selected in AY, provide the percentage of full-time undergraduates who were determined to have financial need, received any financial aid, and whose parents borrowed PLUS loans. (Include only students whose families borrowed PLUS loans while the students were enrolled at your institution.)

### d.
For the academic year selected in AY, provide the percentage of full-time undergraduates who were determined **not** to have financial need and whose parents borrowed PLUS loans. (Include only students whose families borrowed PLUS loans while the students were enrolled at your institution.)

### e.
For the academic year selected in AY, provide the percentage of full-time undergraduates receiving Federal Perkins Loans and the average loan dollar amount

### f.
For the academic year selected in AY, provide the percentage of full-time undergraduates receiving Federal Supplemental Educational Opportunity Grants (FSEOG) and the average grant dollar amount

### g.
Enter average award dollar amounts **awarded** to enrolled degree-seeking full-time undergraduates in the following categories

<table>
<thead>
<tr>
<th>Category</th>
<th>Second Year (Sophomores)</th>
<th>Third Year (Juniors)</th>
<th>Fourth Year (Seniors)</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Average need-based scholarship or grant award to students who were determined to have need)</td>
<td>Current Update</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Previously Reported</td>
<td>2014</td>
<td></td>
</tr>
<tr>
<td>• Average dollar award to students who had no financial need and who were awarded institutional non-need-based scholarship or grant aid (exclude those who were awarded athletic awards or tuition benefits)</td>
<td>Current Update</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Previously Reported</td>
<td>2014</td>
<td></td>
</tr>
</tbody>
</table>
FA4. Aid to Undergraduate Degree-Seeking Nonresident Aliens

a. Indicate your institution’s policy regarding institutional scholarship and grant aid for undergraduate degree-seeking nonresident aliens: [CDS H6]
   - Institutional need-based scholarship and grant aid is available
   - Institutional non-need-based scholarship and grant aid is available
   - Institutional scholarship and grant aid is not available

b. If institutional financial aid is available for undergraduate degree-seeking nonresident aliens, provide the number of undergraduate degree-seeking nonresident aliens who were awarded need-based or non-need-based aid.

<table>
<thead>
<tr>
<th>Current Update</th>
<th>Previously Reported</th>
</tr>
</thead>
<tbody>
<tr>
<td>10</td>
<td>8</td>
</tr>
</tbody>
</table>

c. Average dollar amount of institutional financial aid awarded to undergraduate degree-seeking nonresident aliens.

<table>
<thead>
<tr>
<th>2015</th>
<th>2014</th>
</tr>
</thead>
<tbody>
<tr>
<td>$5,448</td>
<td>$5,478</td>
</tr>
</tbody>
</table>

d. Which types of institutional financial aid are available to undergraduate degree-seeking nonresident aliens?
   - Need-based (check all that apply)
     - College/university scholarships/grants from institutional funds
     - Tuition waivers
     - Athletic awards
     - Loans from institutional funds
     - Loans from outside sources
     - On-campus employment
     - Other:
   - Non-need-based (check all that apply)
     - College/university scholarships/grants from institutional funds
     - Tuition waivers
     - Athletic awards
     - Loans from institutional funds
     - Loans from outside sources
     - On-campus employment
     - Other:

e. Check off all financial aid forms nonresident alien first-year financial aid applicants must submit: [CDS H7]
   - Institution's own financial aid form
   - CSS/Financial Aid PROFILE
   - International Student's Certification of Finances
   - X International Student's Financial Aid Application
   - Other:

FA5. Process for First-Year/Freshman Students

a. Check off all financial aid forms domestic first-year (freshman) financial aid applicants must submit: [CDS H8]
   - X FAFSA
   - Institution's own financial aid form
   - CSS/Financial Aid PROFILE
   - State aid form
   - Non-custodial PROFILE
   - Business/Farm Suppliment
   - Other:

For question FA5b below, please specify both a month and a day where applicable. If the specific day is not known, please indicate either the 1st or the 15th of the month.

b. Indicate the filing dates (month and day) for first-year (freshman) students: [CDS H9]

<table>
<thead>
<tr>
<th>Month</th>
<th>Day</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>15</td>
</tr>
</tbody>
</table>

Priority date for filing required financial aid forms
Deadline for filing required financial aid forms
No deadline for filing required forms (applications processed on a rolling basis)
**FA5. Process for First-Year/Freshman Students (continued)**
For questions FA5c and FA5d below, please specify both a month and a day where applicable. If the specific day is not known, please indicate either the 1st or the 15th of the month.

c. Indicate the notification dates (month and day) for first-year (freshman) students: [CDS H10]

   (Select one response only)
   
   - [ ] Students notified on or about (specify date):
   - [X] Students notified on a rolling basis—if yes, specify beginning date: 3 / 15


d. Indicate the reply date (month and day): [CDS H11]

   Students must reply by (specify date):
   ...or within ______ weeks of notification

**FA6. Types of Aid Available**
Please check off all types of aid available to undergraduates at your institution: [CDS H12]

a. Federal Direct Student Loan Program (Direct Loan)
   - [X] Direct Subsidized Stafford Loans
   - [X] Direct Unsubsidized Stafford Loans
   - [X] Direct PLUS Loans

b. Other Loans
   - [X] Federal Perkins Loans
   - [X] Federal Nursing Loans
   - [ ] State loans
   - [X] College/university loans from institutional funds
   - [ ] Other: _______

c. Scholarships and grants [CDS H13]
   - [X] Need-based
   - [X] Federal Pell
   - [X] FSEOG
   - [X] State scholarships/grants
   - [X] Private scholarships
   - [X] College/university scholarship or grant aid from institutional funds
   - [ ] United Negro College Fund
   - [ ] Federal Nursing Scholarship
   - [ ] Other: _______

d. Jobs and Work-Study

<table>
<thead>
<tr>
<th>Current Update</th>
<th>Previously Reported</th>
</tr>
</thead>
<tbody>
<tr>
<td><img src="#" alt="Table" /></td>
<td><img src="#" alt="Table" /></td>
</tr>
</tbody>
</table>

   - [X] Federal Work-Study (FWS)
   - [ ] State and other work-study/employment
Peterson’s Annual Survey of Undergraduate Financial Aid 2015-16

FA7. Non-Need Awards

If your institution offers non-need awards to degree-seeking full-time undergraduates, please indicate which types of awards are available. At the end of each category, please provide the total number of awards and the total amount awarded in that category for the academic year specified in question AY.

a. Academic Interest/Achievement – Indicate the fields of study in which these awards are available:

- General Academic
- Agriculture
- Architecture
- Area/Ethnic Studies
- Biological Sciences
- Business
- Communication
- Computer Science
- Education
- Engineering/Technologies
- English
- Foreign Languages
- Home Economics
- Health Fields
- Humanities
- International Studies

<table>
<thead>
<tr>
<th>Category</th>
<th>Total Number Awarded</th>
<th>Total Dollars Awarded</th>
<th>Previous Reported</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>2014</td>
</tr>
<tr>
<td>Current Update</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Previously Reported</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>TOTALS</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

b. Creative Arts/Performance – Indicate the fields of study in which these awards are available:

- General Creative
- Applied Art and Design
- Art/Fine Arts
- Cinema/Film/Broadcasting
- Creative Writing
- Dance
- Debating
- Journalism/Publishing
- Music
- Performing Arts
- Theater/Drama

<table>
<thead>
<tr>
<th>Category</th>
<th>Total Number Awarded</th>
<th>Total Dollars Awarded</th>
<th>Previous Reported</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>2014</td>
</tr>
<tr>
<td>Current Update</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Previously Reported</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>TOTALS</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

c. Special Achievements/Activities – Indicate the achievements/activities for which these awards are available:

- General Special Achievement/Activities
- Cheerleading/Drum Major
- Community Service
- Hobbies/Interest
- Junior Miss
- Leadership
- Memberships
- Religious Involvement
- Rodeo

<table>
<thead>
<tr>
<th>Category</th>
<th>Total Number Awarded</th>
<th>Total Dollars Awarded</th>
<th>Previous Reported</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>2014</td>
</tr>
<tr>
<td>Current Update</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Previously Reported</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>TOTALS</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

d. Special Characteristics – Indicate the characteristics for which these awards are available:

- General Special Characteristics
- Adult Students
- Children and Siblings of Alumni
- Children of Current Students
- Children of Educators
- Children of Faculty/Staff
- Children of Public Servants
- Children of Union Members/Company Employees
- Children of Workers in Trades
- Children with a Deceased or Disabled Parent
- Ethnic Background
- First-Generation College Students
- Handicapped Students
- International Students
- Local/State Students
- Married Students
- Members of Minorities
- Out-of-State Students
- Parents of Current Students
- Previous College Experience
- Public Servants
- Relatives of Clergy
- Religious Affiliation
- Siblings of Current Students
- Spouses of Current Students
- Spouses of Deceased or Disabled Public Servants
- Twins
- Veterans
- Veterans’ Children

<table>
<thead>
<tr>
<th>Category</th>
<th>Total Number Awarded</th>
<th>Total Dollars Awarded</th>
<th>Previous Reported</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>2014</td>
</tr>
<tr>
<td>Current Update</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>Previously Reported</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>TOTALS</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Thank You For Your Participation!